

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
DALLAS DIVISION**

RALPH S. JANVEY, IN HIS CAPACITY AS
COURT-APPOINTED RECEIVER FOR THE
STANFORD INTERNATIONAL BANK, LTD.,
ET AL.

Plaintiff,

V.

JAMES R. ALGUIRE, ET AL.

Relief Defendants.

Case No. 03:09-CV-0724-N

APPENDIX IN SUPPORT OF RECEIVER’S SUPPLEMENTAL COMPLAINT AGAINST STANFORD FINANCIAL GROUP ADVISORS

THE FINANCIAL ADVISORS

ID	Name	CD Proceeds¹
1	Paul Adkins	\$ 494,384
2	Jeannette Aguilar	77,390
3	James R. Alguire	1,073,472
4	Peggy Allen	101,282
5	Orlando Amaya	68,396
6	Victoria Anctil	242,811
7	Tiffany Angelle	675,663
8	Susana Anguiano	92,255
9	Sylvia Aquino	1,563,067
10	Juan Araujo	220,573
11	Monica Ardesi	196,584
12	George Arnold	229,071
13	John Michael Arthur	371,024
14	Mauricio Aviles	90,944
15	Brown Baine	226,671
16	Timothy Bambauer	1,007,767
17	Isaac Bar	73,878
18	Elias Barbar	189,555
19	Stephen R. Barber	122,667
20	Jonathan Barrack	291,753
21	Robert Barrett	58,042
22	Marie Bautista	679,283
23	Oswaldo Bencomo	391,096
24	Teral Bennett	347,684
25	Andrea Berger	341,703
26	Norman Blake	897,799
27	Stephen G. Blumenreich	695,543
28	Michael Bober	622,457
29	Nigel Bowman	802,776
30	Brad Bradham	69,385
31	Fabio Bramanti	216,982
32	Alexandre Braune	193,296
33	Charles Brickey	563,816
34	Alan Brookshire	586,647
35	Nancy Brownlee	178,491
36	George Cairnes	283,958
37	Fausto Callava	105,425

¹ “CD Proceeds” includes the cumulative outstanding loan amount, SIBL CD commissions, bonuses, and Performance Appreciation Rights Plan (“PARS”) payments.

ID	Name	CD Proceeds
38	Robert Bryan Cannon	68,333
39	Frank Carpin	482,521
40	Rafael Carriles	53,638
41	James C. Chandley	783,448
42	Jane Chernovetzky	92,846
43	Susana Cisneros	337,991
44	Ron Clayton	1,302,287
45	Neal Clement	878,954
46	Christopher Collier	616,254
47	Jay Comeaux	821,705
48	Michael Conrad	1,112,065
49	Jose Cordero	202,816
50	Oscar Correa	576,212
51	James Cox	937,957
52	John Cravens	376,187
53	Shawn M. Cross	1,048,445
54	Patrick Cruickshank	2,442,107
55	Greg R Day	908,804
56	William S. Decker	1,664,583
57	Michael DeGolier	709,747
58	Andres Delgado	194,840
59	Pedro Delgado	82,083
60	Ray Deragon	896,978
61	Arturo R. Diaz	908,199
62	Ana Dongilio	85,632
63	Matthew Drews	474,999
64	Sean Duffy	446,227
65	Neil Emery	60,491
66	Thomas Espy	2,433,929
67	Jason Fair	113,188
68	Nolan Farhy	190,871
69	Evan Farrell	579,810
70	Marina Feldman	78,033
71	Ignacio Felice	86,707
72	Freddy Fiorillo	140,846
73	Rosalia Fontanals	115,476
74	James Fontenot	504,946
75	John Fry	72,763
76	Roger Fuller	730,889
77	Attlee Gaal	203,904
78	Due Torben Garde	130,843
79	David Braxton Gay	730,523
80	Gregg Gelber	166,264
81	Gregory C. Gibson	1,235,568

ID	Name	CD Proceeds
82	Eric Gildhorn	99,494
83	Luis Giusti	194,146
84	Steven Glasgow	684,396
85	John Glennon	282,320
86	Ramiro Gomez-Rincon	55,800
87	Joaquin Gonzalez	205,446
88	Juan Carlos Gonzalez	51,299
89	Russell Warden Good	643,142
90	John Grear	471,509
91	Stephen Greenhaw	548,324
92	Mark Groesbeck	871,176
93	Billy Ray Gross	97,917
94	Vivian Guarch	51,734
95	Donna Guerrero	70,000
96	John Gutfranski	58,750
97	David Haggard	1,967,869
98	Gary Haindel	206,150
99	Jon Hanna	66,670
100	Dirk Harris	186,298
101	Virgil Harris	1,774,620
102	Daniel Hernandez	368,288
103	Martine Hernandez	95,143
104	Patrica Herr	485,557
105	Steven Hoffman	86,370
106	Robert Hogue	685,566
107	John Holliday	471,578
108	Charles Hughes	301,074
109	Wiley Hutchins, Jr.	549,156
110	David Innes	360,812
111	Marcos Iturriza	162,940
112	Charles Jantzi	231,141
113	Allen Johnson	1,312,380
114	Faran Kassam	191,315
115	Michael Kepesky	58,333
116	David Wayne Krumrey	507,434
117	Bruce Lang	82,748
118	Grady Layfield	876,375
119	James LeBaron	328,957
120	Jason LeBlanc	186,671
121	William Leighton	136,929
122	Robert Lenoir	692,813
123	Humberto Lepage	174,246
124	Francois Lessard	53,597
125	Jason Likens	294,588

ID	Name	CD Proceeds
126	Trevor Ling	1,206,028
127	Christopher Long	206,170
128	Robert Long, Jr.	575,000
129	Humberto Lopez	255,659
130	David Lundquist	85,000
131	Michael MacDonald	96,239
132	Manuel Malvaez	607,192
133	Maria Manerba	118,641
134	Michael Mansur	602,006
135	Iris Marcovich	81,309
136	Claudia Martinez	674,321
137	Aymeric Martinoia	61,003
138	Bert Deems May, Jr.	397,188
139	Carol McCann	154,167
140	Douglas McDaniel	1,195,627
141	Matthew McDaniel	524,220
142	Pam McGowan	51,195
143	Gerardo Meave-Flores	570,691
144	Lawrence Messina	1,233,946
145	Nolan N. Metzger	688,471
146	William J. Metzinger	1,193,500
147	Donald Miller	421,529
148	Trenton Miller	2,062,555
149	Hank Mills	2,180,450
150	Brent B. Milner	2,843,750
151	Peter Montalbano	705,839
152	Alberto Montero	88,688
153	David Morgan	541,057
154	Shawn Morgan	345,138
155	Jonathan Mote	926,942
156	Carroll Mullis	954,475
157	Spencer Murchison	351,723
158	David Nanes	262,947
159	Jon Nee	517,185
160	Aaron Nelson	348,629
161	Norbert Nieuw	78,702
162	Lupe Northam	1,032,373
163	Scott Notowich	1,107,120
164	Monica Novitsky	203,904
165	Kale Olson	164,514
166	John D. Orcutt	834,157
167	Walter Orejuela	128,792
168	Alfonso Ortega	164,059
169	Zack Parrish	146,202

ID	Name	CD Proceeds
170	Tim Parsons	329,150
171	William Peerman	502,390
172	Beatriz Pena	50,391
173	Roberto Pena	534,424
174	Roberto A. Pena	366,431
175	Saraminta Perez	367,239
176	Tony Perez	2,252,716
177	Dulce Perezmora	170,889
178	Lou Perry	68,877
179	Brandon R. Phillips	59,792
180	Randall Pickett	1,263,556
181	Eduardo Picon	89,515
182	Edward Prieto	94,842
183	Elsida Prieto	1,020,684
184	Christopher Prindle	579,763
185	A. Steven Pritsios	494,384
186	Arturo Prum	140,598
187	Maria Putz	67,236
188	Judith Quinones	255,770
189	Sumeet Rai	228,451
190	Michael Ralby	520,373
191	Leonor Ramirez	1,181,174
192	Nelson Ramirez	375,456
193	David Rappaport	90,292
194	Steven Restifo	288,298
195	Walter Ricardo	677,551
196	Jeffrey Ricks	654,760
197	Alan Riffle	692,821
198	Randolph E. Robertson	370,958
199	Steve Robinson	2,626,367
200	Timothy D. Rogers	1,235,568
201	Eddie Rollins	210,836
202	Rocky Roys	1,244,664
203	Tatiana Saldivia	70,608
204	Christopher K. Schaefer	107,109
205	Louis Schaufele	785,185
206	John Schwab	1,376,353
207	William Scott	445,947
208	Haygood Seawell	1,000,614
209	Leonard Seawell	348,629
210	Morris Serrero	112,475
211	Doug Shaw	1,739,190
212	Nick Sherrod	296,129
213	Rochelle Sidney	196,507

ID	Name	CD Proceeds
214	Edward Simmons	138,208
215	Peter Siragna	1,391,946
216	Steve Slewitzke	608,012
217	Nancy Soto	559,427
218	Paul Stanley	64,351
219	Heath Stephens	241,786
220	William O. Stone Jr.	696,498
221	David M. Stubbs	889,972
222	Mark V. Stys	328,417
223	Paula S. Sutton	979,167
224	Juan Carlos Terrazas	76,194
225	Scot Thigpen	293,840
226	Christopher Thomas	215,734
227	Mark Tidwell	262,106
228	Yliana Torrealba	238,148
229	Jose Torres	353,921
230	Al Trullenque	821,705
231	Audrey Truman	376,916
232	Roberto Ulloa	3,315,070
233	Eric Urena	176,013
234	Miguel Valdez	622,466
235	Nicolas Valera	276,693
236	Tim Vanderver	1,101,542
237	Pete Vargas	870,944
238	Ettore Ventrice	621,251
239	Mario Vieira	118,331
240	Evely Villalon	73,682
241	Maria Villanueva	2,219,405
242	Frans Vingerhoedt	83,419
243	Charles Vollmer	706,088
244	James Weller	56,250
245	Bill Whitaker	677,439
246	Donald Whitley	255,875
247	David Whitemore	98,925
248	Charles Widener	316,646
249	Thomas Woolsey	310,330
250	Michael Word	1,467,773
251	Ryan Wrobleske	922,868
252	Ihab Yassine	50,268
253	Leon Zaidner	382,763
	Total CD Proceeds	<u>\$ 133,639,224</u>